

Tax compliance – self certification for individuals

RATHBONES

Incorporating
Investec Wealth &
Investment (UK)

Please refer to the accompanying explanatory notes/instruction and/or contact your tax adviser to help complete this form.

Section: A

Beneficial owner information

A.1 Full name

Title and full name (Mandatory)

A.2 Permanent residence
address/mailling address/postcode
(if applicable)

Permanent residence address/postcode (Mandatory)

Mailing address/postcode (if applicable)

A.3 Details of birth

Country of birth (Mandatory)

Place of birth (town or city)
(Mandatory)

Date of birth (dd/mm/yyyy)
(Mandatory)

Section: B

Tax residency (Mandatory)

Please indicate ALL countries in which you are resident for the purposes of that country's income tax. You must provide your tax identification number (TIN) for each country you are tax resident in. Example TIN's include NI number (UK and Isle of Man), Social Security Number (Guernsey, Jersey, Gibraltar), and US Tin (US).

If you are unable to provide a relevant Tax Identification Number (e.g. Minor) then please explain the reason why in the Tax Identification Number box, e.g. Minor.

If you are a US citizen, Green Card holder, US resident, or have a substantial presence in the US * (see definition below), you must complete and return to Investec Wealth & Investment an IRS (Internal Revenue Service) W-9 form and include US and any additional non-US tax residencies in the table below.

* Substantial presence is defined as if you were physically present in the US on at least: 31 days during the current year; and 183 days during the 3 year period that includes the current year and the 2 years immediately before that.

B.1 Country of tax residency/
Tax identification number(s)

Country of tax residency

Tax identification number

Country of tax residency

Tax identification number

Country of tax residency

Tax identification number

Country of tax residency

Tax identification number

Investec Wealth & Investment (UK) is a trading name of Investec Wealth & Investment Limited

Section: C

Declaration section (Mandatory)

1. I undertake to advise Investec Wealth & Investment promptly of any change in circumstances which causes the information contained herein to become incorrect or incomplete and to provide Investec Wealth & Investment with an updated declaration within 30 days of such a change in circumstances.
2. I am aware that in certain circumstances Investec Wealth & Investment will be obliged to share this information with UK tax authorities, who may pass it on to other tax authorities.
3. I declare that the information provided in this form is, to the best of my knowledge and belief, accurate and complete.

Signature

Date (dd/mm/yyyy)

Print name

Capacity in which signing
(e.g. Beneficial Owner, Trustee, Director)

For office use only

Upon receipt; this form should be forwarded to the Regulatory Reporting department in Liverpool.

Please supply account number(s) if this is a new self certificate for use against existing accounts:

Belfast	02890 321002	Cheltenham	01242 514756	Guildford	01483 304707	Manchester	0161 832 6868
Birmingham	0121 232 0700	Edinburgh	0131 226 5000	Leeds	0113 245 4488	Sheffield	0114 275 5100
Bournemouth	01202 208100	Exeter	01392 204404	Liverpool	0151 227 2030		
Bristol	01172 444860	Glasgow	0141 333 9323	London	020 7597 1234		

investecwin.co.uk

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Investec Wealth & Investment Limited is registered in England.
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