

1 Personal details

In order to comply with HM Revenue & Customs requirements, the personal details section must be completed in full.

1.1 Client full name

Title/full name

Existing client code (if applicable)

1.2 Client address

Permanent residential address/Postcode

If AIM IHT tick box

☐

1.3 Date of birth and national insurance number

Date of birth (dd/mm/yyyy)

National Insurance number

Tick if you have no NI no.

☐

2 Subscription

I wish to subscribe to a Stocks and Shares ISA for the current tax year

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 / and each subsequent year until further notice.

ISA limits can be found on the Government website. I apply to subscribe for an Investec Wealth & Investment Stocks and Shares Individual Savings Account for the current tax year and for subsequent years where applicable.

I agree that such subscriptions will be subject to Investec's standard charges and terms applicable at that time.

Maximum ISA subscription Or Initial ISA subscription amount £

For all electronic payments our bank details can be found on the Investec website or you can contact your investment manager. When making payments, please include your ISA portfolio code as the payment reference. Alternatively, cheques made payable to "Investec Wealth & Investment Limited".

3 Authority to Transfer ISA(s)

I apply to transfer my existing ISA account number(s)

From (current provider)

Current provider's address/postcode

To: Investec Wealth & Investment, The Plaza, 100 Old Hall Street, Liverpool, L3 9AB

I authorise you to provide information to, and accept the instructions of Investec Wealth & Investment with regard to the transfer of cash balances and securities of my ISA(s) and provide them with a valuation.

For my ISA I wish to:

☐ In specie (Stocks and Cash ISA)

☐ In cash (Cash ISA)

☐ In cash (Liquidate Stocks and Shares ISA)

☐ Transfer part of my cash ISA to the value of: £

☐ Transfer part of my Stocks and Shares ISA holdings (please provide specific holdings to be transferred/sold on a separate sheet):

4 ISA declaration

I declare that:

(a) I am eighteen years of age or over.

(b) I have not subscribed and will not subscribe to another Stocks and Shares ISA in the same tax year that I subscribe to this ISA. Nor will I subscribe to more than the overall subscription limit of any combination of permitted ISAs within the same tax year.

(c) I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Investec Wealth & Investment if I

cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.

I hereby authorise Investec directly or through its appointed nominee company to:

(a) Hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash.

(b) Make on my behalf any claims to relief from tax in respect of ISA investments.

I acknowledge that:

(a) My ISA is subject to the Terms and Conditions for Investment Management and Dealing Services, in particular the section entitled Terms and Conditions. For your own benefit and protection you

should read these terms carefully before signing them. If you do not understand any point please ask for further information.

(b) My ISA will be operated on the same service, risk and objective profile stated in the Client Agreement and signed by me.

(c) The information contained in this Application Form will be held and processed in accordance with Data Protection Legislation as defined in the relevant section of the Terms and Conditions for Investment Management and Dealing Services.

I agree to the Terms and Conditions and declare that, to the best of my knowledge and belief, the information provided by me herewith is true and correct. I agree to inform Investec of any changes in this information without delay.

Investec Wealth & Investment (UK) is a trading name of Investec Wealth & Investment Limited

Continued overleaf.

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Investec stocks and shares ISA (continued)

5 Signature

In order to comply with HM Revenue & Customs requirements, you must put your signature in the client signature box below and also fill in the date box.

Client signature

Date (dd/mm/yyyy)

Note to client: You will need to complete a separate form for the transfer of each existing ISA Manager.
Note to broker: To arrange the transfer of the securities please email: BrokerTransfers@investecwin.co.uk

Belfast	02890 321002	Cheltenham	01242 514756	Guildford	01483 304707	Manchester	0161 832 6868
Birmingham	0121 232 0700	Edinburgh	0131 226 5000	Leeds	0113 245 4488	Sheffield	0114 275 5100
Bournemouth	01202 208100	Exeter	01392 204404	Liverpool	0151 227 2030		
Bristol	01172 444860	Glasgow	0141 333 9323	London	020 7597 1234		

investecwin.co.uk

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Investec Wealth & Investment Limited is registered in England.
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