

**RATHBONES**

Incorporating  
Investec Wealth &  
Investment (UK)

# Responsible Investing and Sustainability at Investec Wealth & Investment



Investec Wealth & Investment (UK) is a trading  
name of Investec Wealth & Investment Limited

# Responsible Investing at IW&I

We have a responsibility to preserve and grow the wealth that is entrusted to us over the long term, and we understand that we need to do this by investing responsibly on your behalf.

Environmental, Social and Governance (ESG) considerations have long been embedded into our investment processes, as has our active engagement with the businesses that we include in client portfolios.

## ESG Integration

Our Research Team incorporate ESG factors into our investment analysis as standard across all asset classes.

Within our direct equity and fixed income coverage, our in-depth financial analysis is strengthened by the use of Sustainalytics, a global leader in ESG research and risk metrics. This provides information on non-financial measures such as a company's environmental credentials, business ethics, and exposure to human rights issues.

Our collective research analysis covers fixed income, equities, commercial property, infrastructure, and other alternative assets. A key component of our approach here is to establish a thorough understanding of how managers incorporate ESG into their funds. This information is sourced through proprietary questionnaires and face-to-face meetings with managers.

## Voting and Engagement

We also exercise voting rights on behalf of our discretionary clients, and will engage with the boards of companies where appropriate. We partner with Institutional Shareholder Services (ISS), which provides us with governance and voting analysis as an input into our decision making, with our overall voting and engagement activities overseen by a Corporate Governance Committee.

**You can find out more about our ESG and voting policies on our website.**

# Tailoring your portfolios

There are a number of ways that we can tailor portfolios to reflect your preferences:



### Sustainability Focus

We can concentrate your individual equity holdings towards those which have higher ESG ratings, or use funds which focus their portfolios on more ESG-sustainable businesses. Our Research Team maintain a list of best-in-class funds which have a good ESG rating, sustainable focus, or which aim to generate a positive impact. This list sits in line with our central views and can be used to focus your portfolio accordingly.



### Specialist Focus

IW&I maintain a broad list of investments, including names with exposure to areas that have a positive ESG impact, such as environmental funds and social housing investment trusts. We can incorporate these names into your portfolio if you wish to have more specific exposure to these type of themes.



### Ethical Focus

We can utilise a screening service to identify companies engaged in activities which may conflict with your values, so they can be excluded from your portfolio. Exclusions can only be applied where direct equities are held within your portfolio.

Your Investment Manager would be more than happy to discuss the best approach to creating a tailored portfolio to reflect your views and values.

The value of investments and the income derived from them can go down as well as up and you may not get back your initial investment.

# Sustainability at Investec

We are committed to delivering exceptional service to our clients, creating long-term value for our shareholders and contributing meaningfully to our people, communities and the planet.

Our business decisions are driven by our values and the intention to live in society, and not off it. As an Investec client, you will be dealing with a sustainable, financially resilient business, which is here for the long term.

We pride ourselves on investing in our people, supporting our communities, and caring for our environment.

We aim to help solve global, social and environmental issues locally and are guided by our core commitments to the three E's; Education, Entrepreneurship and the Environment.

## **Sustainability** Education

1,780 Arrival Education learners have been supported in the UK in the past 12 years.

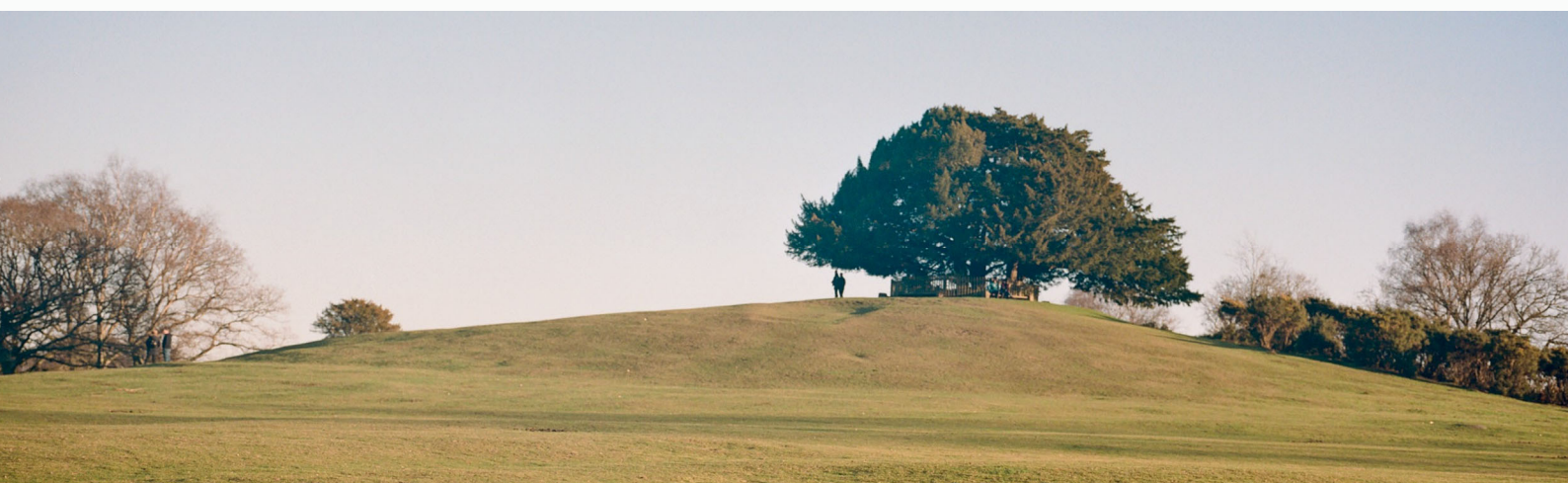
## **Sustainability** Entrepreneurship

Since 2011 we've helped launch 47 new social businesses, supporting the creation of more than 300 jobs, and creating £5mn combined annual turnover.

## **Sustainability** Environment

We've achieved net-zero direct carbon emissions for the 2018/19 financial year and committed to ongoing carbon neutrality.

You can learn more about our commitment to sustainability on our website at [investec.com/sustainability](https://investec.com/sustainability).



<b>Belfast</b>	02890 321002	<b>Cheltenham</b>	01242 514756	<b>Guildford</b>	01483 304 707	<b>Manchester</b>	0161 832 6868
<b>Birmingham</b>	0121 232 0700	<b>Edinburgh</b>	0131 226 5000	<b>Leeds</b>	0113 245 4488	<b>Sheffield</b>	0114 275 5100
<b>Bournemouth</b>	01202 208100	<b>Exeter</b>	01392 204404	<b>Liverpool</b>	0151 227 2030		
<b>Bristol</b>	01172 444860	<b>Glasgow</b>	0141 333 9323	<b>London</b>	020 7597 1234		

[investec.com/wealth](https://investec.com/wealth)

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